Audit & Governance Committee Effective	noss Solf	Accoccm	ont					Appendix 3
Addit & Governance Committee Effective	iness sen	Assessiii	ent					Appendix 3
Assessment scores:	3 - Most (	of the time	/Satisfacto	ry/Dartly :	agree 1 -	All of the t	ime/Good	I/Definitely agree
1-Hardly ever/Poor/Definitely disagree 2- Occasionally/Inadequately/Partly disagree		applicable		iy/raitiy a	agree 4-7	All Of the t	iiile/ dooc	/Definitely agree
		Num	ber of Resp	0000		2018/19	2017/18	Difference between
CORE AREAS OF KNOWLEDGE	N/A	1	2	3	4	Average	-	2017/18 and 2018/19
Members with appropriate skills and experience     The Audit Committee should comprise members with an appropriate mix of skills and experience,								
including some relevant financial experience.			1	3	2	3.2	3.8	-0.6
2. Class towns of reference								
2 Clear terms of reference There are clear, up to date terms of reference, with clarity as to the committee's role in relation to								
the council and other committees				2	4	3.7	3.8	-0.1
3 Structured and appropriate annual agenda								
There is a structured annual agenda of matters to be covered, with focus on the right areas.		1			5	3.5	3.8	-0.3
4 Sufficient number of meetings and access to resources								
The number and length of meetings and access to resources is sufficient to allow the committee fully to discharge its duties.		1		2	,	3.2	3.5	-0.3
rully to discriarge its duties.		1			3	3.2	3.3	-0.3
5 Concise, relevant and timely information								
Audit Committee papers are concise, relevant and permit timely resolution of the issues raised		1		4	1	2.8	3.0	-0.2
6 The right people are invited to attend and present at meetings Senior officers and others are asked to present on issues as appropriate.		1		2	3	3.2	3.8	-0.6
7 Attendance and contribution to meetings All Audit Committee members attend and actively contribute at meetings	1		1	2	1	2.6	3.3	-0.7
								-
8 Sufficient time and commitment to undertake responsibilities								
As an Audit Committee member I have sufficient time and commitment to fulfil my responsibilities		2		3	1	2.5	3.5	-1.0
9 On-going personal development	1							
Audit Committee members have access to on-going development activities to update their skills								
and knowledge.		1		2	3	3.2	3.8	-0.6
10 Understanding the Council's business								
The Audit Committee has a good understanding of the different risks inherent in the council's business activities.			1	4	1	3.0	3.3	-0.3
11 Focus on appropriate areas The Audit Committee focuses on the right questions and is effective in avoiding minutia		1		2	3	3.2	3.2	0.0
				-	_			
12 Understanding of how assurance is gained The Audit Committee understands the relationship between the various sources of assurance								
available to it.				4	2	3.3	3.2	0.1
13 Quality of interaction with external audit								
The Audit Committee actively engages with the external auditors regarding the scope of their worl	C			_	,	2.2	2.2	0.0
and audit findings.		1			3	3.2	3.2	0.0
14 Quality of interaction with internal audit The Audit Committee demonstrates an appropriate degree of involvement in the work of internal								
audit and its findings.	1	1		2	2	3.0	3.3	-0.3
15 Frank, open working relationship with senior officers								
Audit Committee members have a frank and open relationship with senior officers, whilst avoiding								
the temptation to act as officers.		1		3	2	3.0	3.7	-0.7
16 Open channels of communication								
The Audit Committee has open channels of communication with officers and other members to keep it aware of topical/regulatory issues.		1		3	2	3.0	3.5	-0.5
						5.0	5.5	0.5
17 Rigour of debate  Audit Committee meetings encourage a high quality of debate with robust and probing								
discussions.		1	1	2	2	2.8	3.5	-0.7
18 Reaction to bad news								
The Audit Committee responds positively and constructively to bad news to encourage future transparency.		1		3	2	3.0	3.0	0.0
				J	-	5.0	3.0	0.0
19 Perceived to have a positive impact There is an appropriate balance between the monitoring role and the Committee acting as an "influencer for								
good".	1	2		1	2	2.6	3.3	-0.7
20 Quality of chairmanship								
The Chair promotes effective and efficient meetings		1		1	4	3.3	3.3	0.0
21 How do we know that we are being effective in achieving our terms of reference and	+							
adding value to the corporate governance of the Authority?	1							
By comparing the Council's assessment to the CIPFA guidance. We know, as part of the Committee. However the wider elected Council do not know about the								
group or its work.  Open and honest discussion with informative contribution by officers.	-							
Good external audit reports.								
We do not. Programme of audits and reports								
т тоднатить от вишто виш теропо								
22 How do we know what impact we are having? Regular breifing sessions with officers and discussing a way forward regarding issues.								
Effective risk management with regular risk updates aling with progress and outcomes reports.								
Opportunities to challenge risks and follow outcomes.  Comments and recommendations are taken on board by officers, with prompt feedback on any								
progress.								
Because the risk are being managed and we have not had any catastorphic failings Feedback on audit recommendations	1	<u> </u>	<u> </u>					
1 CONTRACT OF AUDITORNATIONS								

23 What do we do well as a committee?				
Good communications and relations with officers and Exec Borad members				
Very little.				
Read and take up issues, if any				
We have a good relationship with officers, and meetings go smoothly, but members need to have				
read and understood the content to make sound judgements or debate.				
24 What could we do differently or better as a Committee?				
Not sure as the content is vast and rather dry.				
Shorter more focussed meetings, prior infofmnarttuion up front				